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**U.S. District Court  
District of Columbia (Washington, DC)  
CIVIL DOCKET FOR CASE #: 1:10-cv-01732-ESH**

GREENFIELD v. SECURITIES AND EXCHANGE  
COMMISSION

Assigned to: Judge Ellen S. Huvelle  
Cause: 05:552 Freedom of Information Act

Date Filed: 10/13/2010  
Jury Demand: None  
Nature of Suit: 895 Freedom of  
Information Act  
Jurisdiction: U.S. Government Defendant

**Plaintiff**

**RICHARD D. GREENFIELD**

represented by **William H. Anderson**  
CUNEO GILBERT & LADUCA, LLP  
507 C Street, NE  
Washington, DC 20002  
(202) 789-3960  
Email: wanderson@cuneolaw.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

V.

**Defendant**

**SECURITIES AND EXCHANGE  
COMMISSION**

represented by **Karen Johnson Shimp**  
U.S. SECURITIES AND EXCHANGE  
COMMISSION  
Office of the General Counsel  
100 F Street, NE  
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(202) 551-5007  
Fax: (202) 772-9263  
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*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Rhonda Lisa Campbell**  
U.S. DEPARTMENT OF JUSTICE  
555 Fourth Street, NW  
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Fax: (202) 514-8780  
Email: rhonda.campbell@usdoj.gov  
TERMINATED: 02/25/2011

Date Filed	#	Docket Text
10/13/2010	<a href="#"><u>1</u></a>	COMPLAINT against SECURITIES AND EXCHANGE COMMISSION ( Filing fee \$ 350, receipt number 4616033373) filed by RICHARD D. GREENFIELD. (Attachments: # <a href="#"><u>1</u></a> Civil Cover Sheet)(jf, ) (Entered: 10/14/2010)
10/13/2010		SUMMONS Not Issued as to SECURITIES AND EXCHANGE COMMISSION (jf, ) (Entered: 10/14/2010)
10/13/2010	<a href="#"><u>2</u></a>	LCvR 7.1 CERTIFICATE OF DISCLOSURE of Corporate Affiliations and Financial Interests by RICHARD D. GREENFIELD (jf, ) (Entered: 10/14/2010)
10/14/2010		Summons (1)Issued as to SECURITIES AND EXCHANGE COMMISSION. (ls, ) (Entered: 10/14/2010)
10/15/2010	<a href="#"><u>3</u></a>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed on Attorney General., RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed. SECURITIES AND EXCHANGE COMMISSION served on 10/14/2010 (Anderson, William) (Entered: 10/15/2010)
01/14/2011	<a href="#"><u>4</u></a>	ORDER directing plaintiff to file proof of service or provide the Court with a written explanation for why service of process has not been completed by February 14, 2011. Signed by Judge Ellen S. Huvelle on January 14, 2011. (AG) (Entered: 01/14/2011)
01/18/2011		Set/Reset Deadlines: Plaintiff to file proof of service or provide the Court with a written explanation why service of process has not been completed by 2/14/2011. (jth) (Entered: 01/18/2011)
01/18/2011		SUMMONS Issued (2) as to, U.S. Attorney and U.S. Attorney General (mmh) (Entered: 01/18/2011)

01/21/2011	<a href="#"><u>5</u></a>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed in a <b>FOIA Case</b> as to the U.S. Attorney for DC; SECURITIES AND EXCHANGE COMMISSION served on 1/20/2011, answer due 2/19/2011 for U.S. Attorney.  (Anderson, William) (Entered: 01/21/2011)
01/21/2011	<a href="#"><u>6</u></a>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed on Attorney General. Date of Service Upon Attorney General 01/20/2011. (Anderson, William) (Entered: 01/21/2011)
02/02/2011	<a href="#"><u>7</u></a>	NOTICE of Appearance by Rhonda Lisa Campbell on behalf of SECURITIES AND EXCHANGE COMMISSION (Campbell, Rhonda) (Entered: 02/02/2011)
02/17/2011	<a href="#"><u>8</u></a>	ANSWER to <a href="#"><u>1</u></a> Complaint by SECURITIES AND EXCHANGE COMMISSION. (Shimp, Karen) (Entered: 02/17/2011)
02/23/2011		STAYED AND DEADLINES VACATED PURSUANT TO ORDER FILED 3/4/11.....MINUTE ORDER: It is hereby ORDERED that the parties shall confer and file a joint proposed briefing schedule by March 4, 2011. Signed by Judge Ellen S. Huvelle on February 23, 2011. (AG) (Entered: 02/23/2011)
02/25/2011	<a href="#"><u>9</u></a>	NOTICE OF WITHDRAWAL OF APPEARANCE as to SECURITIES AND EXCHANGE COMMISSION. Attorney Rhonda Lisa Campbell terminated. (Campbell, Rhonda) (Entered: 02/25/2011)
02/28/2011		Set/Reset Deadlines: Joint Briefing Schedule by 3/4/2011. (gdf) (Entered: 02/28/2011)
02/28/2011		Set/Reset Deadlines: Renewed Dispositive Motion on or before 5/2/2011. (gdf) (Entered: 02/28/2011)
03/03/2011	<a href="#"><u>10</u></a>	Joint MOTION to Stay <i>February 23, 2011 Order</i> by SECURITIES AND EXCHANGE COMMISSION (Shimp, Karen) (Entered: 03/03/2011)
03/04/2011		MINUTE ORDER granting <a href="#"><u>10</u></a> Joint Motion to Stay February 23, 2011 Order: on joint motion by the parties, and good cause having been shown, it is herebyORDERED that the deadline set in this Court's February 23, 2011 Order for filing a joint proposed briefing schedule is VACATED; and it is further ORDERED that by April 4, 2011, the parties will either dismiss this case or jointly file a proposed briefing schedule. Signed by Judge Ellen S. Huvelle on March 4, 2011. (AG) (Entered: 03/04/2011)
03/31/2011	<a href="#"><u>11</u></a>	Joint MOTION to Stay by SECURITIES AND EXCHANGE COMMISSION (Shimp, Karen) (Entered: 03/31/2011)

04/01/2011		MINUTE ORDER granting <a href="#">11</a> Joint Motion to Stay: The parties have jointly requested a stay of this action so that the SEC can process the Plaintiff's narrowed FOIA request. In light of the representations made by the parties, it is hereby ORDERED that the Motion to Stay is GRANTED; it is further ORDERED that the parties shall will file a joint status update on or before May 31, 2011. Signed by Judge Ellen S. Huvelle on April 1, 2011. (AG) (Entered: 04/01/2011)
04/01/2011		Set/Reset Deadlines: Joint Status Report due by 5/31/2011 (gdf) (Entered: 04/01/2011)
05/27/2011	<a href="#">12</a>	STATUS REPORT <i>JOINT</i> by SECURITIES AND EXCHANGE COMMISSION. (Shimp, Karen) (Entered: 05/27/2011)
05/31/2011		MINUTE ORDER re <a href="#">12</a> Joint Status Report: On April 1, 2011, for good cause shown, the Court stayed any further action in the above-referenced case. In light of the representations made by the parties, it is hereby ORDERED that the stay is continued and the parties will file a joint status update on or before July 29, 2011. Signed by Judge Ellen S. Huvelle on May 31, 2011. (AG) (Entered: 05/31/2011)
06/01/2011		Set/Reset Deadlines: Joint Status Report due by 7/29/2011 (gdf) (Entered: 06/01/2011)
07/26/2011	<a href="#">13</a>	STATUS REPORT <i>and Motion to Suspend Case Processing</i> by SECURITIES AND EXCHANGE COMMISSION. (Shimp, Karen) (Entered: 07/26/2011)
07/26/2011	14	MOTION to Suspend Case Processing by SECURITIES AND EXCHANGE COMMISSION. (See Docket Entry <a href="#">13</a> to view document) (jf, ) (Entered: 07/27/2011)
07/28/2011		MINUTE ORDER granting 14 Motion to Stay: On April 1, 2011, for good cause shown, the Court stayed any further action in the above-referenced case. The plaintiff now moves that the case be suspended for a period not to exceed nine months. The defendant does not oppose that motion. In light of the representations made by the parties, it is hereby ORDERED that the case is STAYED for a period not to exceed nine months; it is further ORDERED that the Clerk of Court will administratively close the case; and it is further ORDERED that if the plaintiff has not re-opened the case on or before April 30, 2012, the parties will stipulate to dismiss this action with prejudice. Signed by Judge Ellen S. Huvelle on July 28, 2011. (AG) (Entered: 07/28/2011)
11/08/2011	<a href="#">15</a>	Joint MOTION to Lift Stay by SECURITIES AND EXCHANGE COMMISSION (Shimp, Karen) (Entered: 11/08/2011)

11/08/2011	MINUTE ORDER granting <a href="#">15</a> Motion to Lift Stay: On July 28, 2011, for good cause shown, the Court stayed any further action in the above-referenced case. The parties now jointly move that the stay of this litigation be lifted. In light of the representations made by the parties, it is hereby ORDERED that the stay of this case is hereby lifted. It is further ordered that the Clerk of the Court will administratively re-open the case. Signed by Judge Ellen S. Huvelle on November 8, 2011. (AG) (Entered: 11/08/2011)
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